IAP3 Rec'd PCT/PTO 13 FEB 2008

PTO-1390 (Rev. 07-2005)
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ATTORNEY'S DOCKET NUMBER 16515.4002 TRANSMITTAL LETTER TO THE UNITED STATES PHICATIONINO (II known, see at CER 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/US2004/027172 20 August 2004 21 August 2003 TITLE OF INVENTION PROCESS AND APPARATUS FOR THE PRODUCTION OF USEFUL PRODUCTS FROM CARBONACEOUS FEEDSTOCK APPLICANT(S) FOR DO/EO/US Stanley R. PEARSON Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. Mark This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not transmitted by the International Bureau). has been communicated by the International Bureau. c. 🗵 is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 37 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. A have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31. An assignment of the invention to: Pearson Technologies, Inc. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S. C. 154(d)(4). 20. Other items of information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to fife (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPUICATIONINO (if Kapun) self 67 CAR (a)			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER		
			PCT/US2004/271721			16515.4002		
The following fee						Applicant use	Office use only	
		<u></u>	\$300.00			300.00		
22. X Examination fee If the written opinion prepared by ISA/US or the international preliminary examination report						0.00		
prepared by I	PEA/US indica							
					\$200.00		<u></u>	
23. Search fee (37 CFR 1.492(a)) If the written opinion of the ISA/US or the international preliminary examination report prepared						0.00		
by IPEA/US indicates all claims satisfy provisional of PCT Article 33 (1)-(4)\$0								
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO								
as an International Searching Authority								
previously communicated to the US by the IB\$400.00								
All other situations\$500.00								
TOTAL OF 21, 22 and 23= 30								
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in								
an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets								
	action thereof.		(4.19) 150		DATE			
Total Sheets	Extra Sheets		f Additional 50 or frac f (round up to a whole number)	tion	RATE			
- 100 =	/50 =	l lieleo	(round up to a whole number)	-	x \$250.00			
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or								
declaration after the date of commencement of the national stage (37 CFR 1.492(h)).								
CLAIMS		BER FILED			x \$50.00	2350.00	T	
Total claims Independent clai		67 - 20 = 4 - 3 =	47	 ,	× \$50.00 × \$200.00	200.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$360.00						200.00		
TOTAL OF ABOVE CALCULATIONS =						2850.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are								
reduced by 1/2.						1425.00		
Processing fee of \$130 for furnishing the English translation later than 30 months from						1425.00		
the earliest claimed priority date (37 CFR 1.492(i)).								
TOTAL NATIONAL FEE =								
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be						40.00		
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + TOTAL FEES ENCLOSED =						1465.00		
TOTAL TELS ENGLOSED -						Amount to be		
						Refunded:	\$	
						Charged:	\$	
a. A check in the amount of \$to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. 15-0665 in the amount of \$ 1465.00 to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayments to Deposit Account No. <u>15-0665</u> . A duplicate copy of this sheet is enclosed.								
The same to be charges to a credit card. WARNING: Information on this form may be come public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO:								
Customer Number. 34313								
Orrick Herrington & Sutcliffe, LLP								
4 Park Plaza, Suite 1600 SIGNAT								
Irvine, CA 92614						Charles C. Fowler		
Tel: 949-567-6700 NAME								
Fax: 949-567-6710 <u>39675</u> REGISTRATION NUMBER								
<u></u>								

Form PTO-1390 (REV 9-2004)

THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

CERTIFICATE OF MAILING

To:

Attn: DO/EO/US Mail Stop PCT Commissioner for Patents P.O Box 1450 Alexandria, VA 22313-1450

Applicant's or agent's file reference: 16515.4002

International application No. (if known)

International Filing date (day/month/year) (if known)

(Earliest) Priority date (day/month/year) 21 August 2003

PCT/U2004/027172

-20 August 2004 U.S. Application 10756840

U.S. Filing date (day/month/year)(if known) 13 February 2006

Applicant

Stanley R. PEARSON

Title of invention

PROCESS AND APPARATUS FOR THE PRODUCTION OF USEFUL PRODUCTS FROM CARBONACEOUS FEEDSTOCK

Sir:

Date of Deposit:

February 13, 2006

Express Mail Label No.

EV465598575US

I hereby certify that on the dated listed above, the following papers (along with any paper referred to as being attached or enclosed) are being deposited with the United States Postal Service in accordance with 37 C.F.R. § 1.10 as "Express Mail Post Office to Addressee," with sufficient postage in an envelope addressed to: Mail Stop PCT, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- 1. Transmittal Letter to the US Designated/Elected Office Concerning a filing under 37 USC 371 (2 pages)
- 2. Utility Application Declaration
- 3. Power of Attorney by Assignee
- 4. Information Disclosure Statement
- 5. Form PTO/SB/08a (1449)
- 6. Assignment Coversheet
- 7. Assignment document (1 page)

8. Cited Reference (x1

duyea Wendel

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